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## FAX COVER SHEET

TO: USPTO  
FAX NO: (571) 273-8427  
COMPANY: Robert DeWitty  
APPLICATION NO:

FROM: D. Benjamin Borson, Ph.D.  
REFERENCE NO:  
DATE: August 6, 2012  
TOTAL NUMBER OF PAGES INCLUDING THIS COVER SHEET: 11

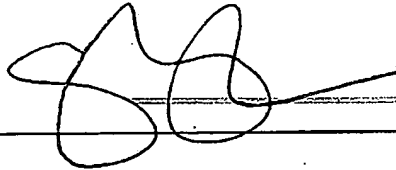
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☐ NAME:

EXT:

Confirmation will be sent by Mail? {Yes/No} No

Dated: August 6, 2012



Gina Rocca  
D. Benjamin Borson, Ph.D.  
Borson Law Group, PC  
[grocca@borsonlaw.com](mailto:grocca@borsonlaw.com)

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 6, 2012

PTAS

D. BENJAMIN BORSON, PH.D.  
SUITE 200  
1078 CAROL LANE  
LAFAYETTE, CA 94549

700483456

UNITED STATES PATENT AND TRADEMARK OFFICE  
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE ASSIGNMENT RECORDATION BRANCH AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT RECORDATION BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 04/04/2012

REEL/FRAME: 027993/0108  
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

VEGENICS PTY LIMITED

DOC DATE: 09/30/2011

ASSIGNOR:

OTAGO, UNIVERSITY OF

DOC DATE: 09/30/2011

ASSIGNEE:

OTAGO INNOVATION LIMITED  
LEITH STREET  
DUNEDIN, NEW ZEALAND

APPLICATION NUMBER: 09431888

FILING DATE: 11/02/1999

PATENT NUMBER: 6541008

ISSUE DATE: 04/01/2003

TITLE: VASCULAR ENDOTHELIAL GROWTH FACTOR-LIKE PROTEIN FROM ORF VIRUSES  
BINDS AND ACTIVATES MAMALIAN VEGF RECEPTOR-2, AND USES THEREOF

ASSIGNMENT RECORDATION BRANCH  
PUBLIC RECORDS DIVISION

P.O. Box 1450, Alexandria, Virginia 22313-1450 - WWW.USPTO.GOV

700483456

04/04/2012

Form PTO-1595 (Rev. 03-11)  
OMB No. 0651-0027 (exp. 03/31/2012)U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET

## PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

## 1. Name of conveying party(ies)

Vegenics Pty Limited and  
University of OtagoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance/Execution Date(s):

Execution Date(s) September 30, 2011

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

## 2. Name and address of receiving party(ies)

Name: Otago Innovation LimitedInternal Address: Leith Street

Street Address: \_\_\_\_\_

City: Dunedin

State: \_\_\_\_\_

Country: New Zealand Zip: \_\_\_\_\_Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application or patent number(s):

A. Patent Application No.(s)

☐ This document is being filed together with a new application.

B. Patent No.(s)

6,541,008

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address to whom correspondence concerning document should be mailed:

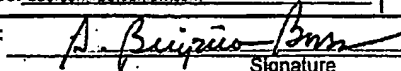
Name: D. Benjamin Borson, Ph.D.Internal Address: Suite 200Street Address: 1078 Carol LaneCity: LafayetteState: CAZip: 94549Phone Number: (925) 310-7060Fax Number: (925) 310-2061Email Address: bborson@borsonlaw.com6. Total number of applications and patents involved: one7. Total fee (37 CFR 1.21(h) & 3.41) \$40

- ☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

## 8. Payment Information

Deposit Account Number 504089Authorized User Name D. Benjamin Borson, Ph.D.

## 9. Signature:



Signature

April 4, 2012

Date

D. Benjamin Borson, Ph.D.

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 2Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 504089 654100

## Benjamin Borson

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**From:** PTAS [ptas@uspto.gov]  
**Sent:** Friday, April 06, 2012 6:58 AM  
**To:** Benjamin Borson  
**Subject:** Assignment Notice of Recordation (WUID: 700483456)

**Attachments:** Notice.pdf; CoverSheet.tif



Notice.pdf (50 KB) CoverSheet.tif (98 KB)

Please find attached the Notice of Recordation and the corresponding TIFF image of the first page of the coversheet submitted to the Assignment Recordation Branch.

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WUID: 700483456  
DOCKET NUMBER:  
FIRST ASSIGNEE: OTAGO INNOVATION LIMITED  
SOURCE: PTAS  
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Vegenics Pty Limited

By: [Signature]

Name: RICHARD J. CHADWICK  
(Type or Print)

Title: HEAD OF IP

\*\*\*\*\*

Witness:

[Signature]  
Name: MEGAN BALDWIN  
(Type or Print)

Date: 15 Sept 2011

I-0031

Merce

**CORPORATE TO CORPORATE  
ASSIGNMENT OF PATENTS, APPLICATIONS, AND INVENTIONS**

WHEREAS, Vegenics Pty Limited (formerly Vegenics Limited), an Australian corporation having a place of business at Level 1, 10 Wallace Avenue, Toorak, Victoria 3142, Australia, and University of Otago, of Leith Street, Dunedin, New Zealand (hereinafter collectively "ASSIGNOR"), are the owners of 100% undivided right, title and interest in the following Letters Patent and applications therefor by virtue of prior assignments from the Inventors.

1. Title	Vascular Endothelial Growth Factor-Like Protein from ORF Viruses that Binds and Activates Mammalian VEGF Receptors
Inventor(s):	Lyn Wise, Andrew A. Mercer, Loreen J. Savory, Stephen B. Fleming, and Stephen A. Stacker.
Application No.	USSN 09/431,888
Patent No.	US 6,541,008
Filing Date	2 November 1999
Issue Date	1 April 2003
2. Title	Vascular Endothelial Growth Factor-Like Protein from ORF Virus NZ2 that Binds and Activates Mammalian VEGF Receptor-2
Application No.	PCT/US99/25869
Filing Date	2 November 1999
3. Title	Vascular Endothelial Growth Factor-Like Protein from ORF Virus NZ10 that Binds and Activates Mammalian VEGF Receptor-2
Application No.	EP 99958757.9
Patent No.	EP 1 126 863 B1
Filing Date	2 November 1999
Grant Date	3 May 2006
4. Title	Vascular Endothelial Growth Factor-Like Protein from ORF Virus NZ2 that Binds and Activates Mammalian VEGF Receptor-2
Application No.	AU 2000016053
Patent No.	AU 775,956
Filing Date	2 November 1999
Grant Date	2 December 2004
5. Title	Vascular Endothelial Growth Factor-Like Protein from ORF Virus NZ2 Binds and Activates Mammalian VEGF Receptor-2, and Uses Thereof
Provisional Application No.	US 60/106,689
Filing Date	2 November 1998
6. Title	Vascular Endothelial Growth Factor-Like Protein from ORF Virus NZ2 Binds and Activates Mammalian VEGF Receptor-2, and Uses Thereof
Provisional Application No.	US 60/106,800
Filing Date	3 November 1998

PRIOR ASSIGNMENT DATA (INVENTOR(S) TO CORPORATE)	
Prior Assignment Date:	Assignment from Inventors: Lyn M. Wise, Andrew J. Mercer, Loreen J. Savory, and Stephen B. Fleming to University of Otago, Leith Street, Dunedin, New Zealand. 17 January 2000, 13 January 2000, 13 January 2000, 31 January 2000
Recording Data (USPTO) (Date/Reel/Frames):	17 February 2000 010558/0560
Prior Assignment Date:	Assignment from Inventor Stacker, Steven A. to Ludwig Institute for Cancer Research, 605 Third Avenue, New York, New York 10158, USA 19 January 2000
Recording Data (USPTO) (Date/Reel/Frames):	17 February 2000 010558/0669
PRIOR ASSIGNMENT DATA (CORPORATE TO CORPORATE)	
Prior Assignment Date:	Assignment of Assignors Interest from Ludwig Institute for Cancer Research to Vegenics Limited, Level 1, 10 Wallace Avenue, Toorak, Victoria, Australia 3142. 27 March 2008
Recording Data (USPTO) (Date/Reel/Frames):	3 April 2008 020741/0836

WHEREAS, PATENT PROPERTY shall include each and all of the following:

- (a) the foregoing Letters Patents and applications therefor and the inventions disclosed therein, and all embodiments of such inventions heretofore assigned to ASSIGNORS [all collectively hereinafter termed "said inventions"];
- (b) all rights to apply in any and all countries of the world for patents, certificates of invention or other governmental grants on said inventions, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding;
- (c) any and all applications filed and any and all patents, certificates of invention or other governmental grants granted on said inventions in the United States or any other country, including each and every application

filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications;

- (d) each and every reissue or extension of any of said patents;
- (e) each and every patent claim resulting from a reexamination certificate for any and all of said patents, and
- (f) the right to sue for and all claims for damages, profits or other recovery resulting from infringement, including past infringement, of any of the foregoing.

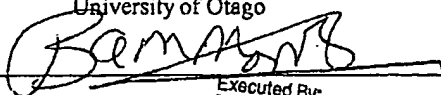
WHEREAS, Otago Innovation Limited, a corporation organized and existing under the laws of New Zealand and having a principal place of business at 87 St. David Street, Dunedin, New Zealand (hereinafter "ASSIGNEE") is to acquire from ASSIGNOR the entire right, title and interest in and to said Patent Property.

NOW THEREFORE, for good and valuable consideration acknowledged by the ASSIGNOR to have been received in full from the ASSIGNEE:


1. ASSIGNOR hereby sells, assigns, transfers and otherwise conveys to ASSIGNEE, and ASSIGNEE's successors, legal representatives and assigns, the entire right, title and interest in and to the PATENT PROPERTY, and herein confirms any prior assignment of said PATENT PROPERTY.
2. ASSIGNOR further agrees to execute and cause to be executed such additional instruments as may be necessary or desirable to confirm the transfer of rights as herein contemplated, to record the transfer of rights in the United States and throughout the world, and to permit ASSIGNEE, and ASSIGNEE's successors, legal representatives and assigns to enforce the PATENT PROPERTY.

IN WITNESS WHEREOF, ASSIGNOR has caused this instrument to be executed by its duly authorized corporate officer and delivered to ASSIGNEE this 09 SEP 2011, 2011, in Dunedin, New Zealand:

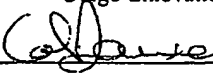



University of Otago  
By:   
Name: DR BRET A MORRIS  
(Type or Print) Director, Enterprise  
University of Otago  
Title: Pursuant to the delegated authority  
of the Council of the University.

\*\*\*\*\*

Witness:   
Name: Annette Louise McGee  
(Type or Print)  
Date: 30.9.2011

Otago Innovation Limited, ASSIGNEE hereby accepts the Assignment from ASSIGNORS.

Otago Innovation Limited  
By:   
Name: COLIN DAWSON  
(Type or Print) Chief Executive Officer  
Otago Innovation Ltd.  
P.O. Box 56, Dunedin  
Title: \_\_\_\_\_

\*\*\*\*\*  
Witness:   
Name: GINA CLOUSTON-CAIN  
(Type or Print)  
Date: 22.09.11